

**Savile Park Primary School**  
**Attendance Management Policy**



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## **1. Introduction**

- 1.1 The school is committed to improving the health, wellbeing and attendance of all our employees. We value the contribution our employees make to the success of our students and to our school. This policy explains what we expect from managers and employees when managing absence and how we will work to reduce levels of absence per employee per year.
- 1.2 The school recognises that keeping employees at work and helping them return to work can make a positive contribution towards an employee's health and wellbeing. The school has a duty to maintain educational provision and to minimise disruption to students and other employees.
- 1.3 The school respects the confidentiality of all information relating to an employee's sickness. This policy will be implemented in accordance with all data protection legislation.

## **2. Purpose and scope**

- 2.1 This document applies to all staff within the school engaged under a contract of employment. The purpose is to encourage employees to achieve and maintain acceptable standards of attendance and to support consistent and fair treatment of all employees.
- 2.2 Regular and punctual attendance is implicit in every employee's contract of employment and we expect each employee to accept responsibility for achieving and maintaining a good record of attendance.
- 2.3 The school will support employees who have genuine grounds for absence including leave for absences not caused by sickness (see Leave of Absence Policy). The school will use the services of an occupational health adviser where appropriate and where necessary will facilitate access to counsellors and rehabilitation programmes in cases of long-term sickness absence.
- 2.4 Where it is established that there is negligence or deliberate failure on the part of an employee (such as carelessness or an unwillingness to perform their duties) the Disciplinary Policy will be instigated.
- 2.5 The Disciplinary Policy should not be used for cases concerning a genuine lack of capability (skill and aptitude) where the Capability Policy must be used.
- 2.6 Meetings in relation to this policy should not be held in PPA time.
- 2.7 For cases relating to alcohol and/or drug dependency, the procedure should be followed in conjunction with the school's Substance Misuse Policy.
- 2.8 For references in this policy to 'Bradford Factor' (or 'Bradford Score') please see guidance and examples in Appendix 1.

## **3. Employee responsibilities**

- 3.1 Employee responsibilities are as follows:
  - to look after their own health to minimise sickness absence

- to follow the sickness absence reporting procedures (failure to comply may result in suspension of pay)
- to ensure appropriate documentation is submitted as necessary (failure to comply may result in suspension of pay).
- to inform their manager if the absence is either disability related or due to an accident at work
- to inform their manager if there are any relevant work issues that need to be addressed
- to co-operate with referrals to occupational health and any other actions required to support a return to work.

#### **4. Management responsibilities**

4.1 Management responsibilities are as follows:

- to proactively manage attendance and promote the well-being of their staff and where appropriate seek advice from their HR Adviser
- to monitor all sickness absence effectively by reporting and recording the information
- to ensure ongoing reasonable contact with the employee is maintained and records kept of the content of discussions and/or meetings
- to ensure appropriate notice is given to employees for formal meetings and to allow representation at these meetings
- to obtain occupational health advice where appropriate.

4.2 Where an employee is regarded as disabled under the Equality Act 2010, an employer must demonstrate that they have considered and, where reasonable, implemented adjustments to support a disabled person in the workplace.

4.3 Many reasonable adjustments involve little or no cost and could include the following:

- making changes to a disabled person's working pattern
- providing training or mentoring
- making alterations to premises
- modifying or acquiring equipment
- ensuring that information is provided in accessible formats.

4.4 Any absence from work resulting from a work-related incident (including violence, musculo-skeletal problem or stress) must be reported to the school health and safety manager.

4.5 It is a statutory requirement that major injuries as defined and/or absence over 7 days through workplace accidents will require reporting to the Health and Safety Executive (in accordance with the Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 2013 (RIDDOR) published by the HSE).

#### **5. Role of occupational health**

5.1 Occupational health practitioners have a duty to give independent informed professional guidance and advice on work and health matters. Occupational health is about the impact of work upon health and also the impact of the state of health upon work.

- 5.2 Occupational health can assist in establishing the exact nature of the employee's illness, estimating the likely duration of the illness/absence, the impact of an employee's health on their ability to discharge their duties and advice on measures to be put in place to support the employee in a return to work (possibilities include redeployment, part-time working, reasonable adjustments etc).
- 5.3 Occupational health can also identify whether any adjustments may be indicated to enable an employee to do their job in line with the Equality Act 2010. Further specialist advice on this point may be taken, eg 'Access to Work' through JobcentrePlus.
- 5.4 Additionally the occupational health practitioner can provide an assessment as to the fitness of the employee to attend a meeting/hearing while they are reporting sick.
- 5.5 A referral to occupational health is also a requirement to enable an employee to obtain ill-health retirement where (if they meet the criteria) they receive a certificate of permanent incapacity from an approved occupational health doctor. This documentation is then used in the employee's application to either Teachers' Pensions or West Yorkshire Pension Fund (local government pension scheme) in order to access their pension.
- 5.6 Information provided on the occupational health referral form must be comprehensive and the questions asked must be relevant and clear in order to enable a meaningful report to be produced. Any re-referrals to occupational health must apprise the occupational health practitioner of progress to date and what action has been taken in the interim period.
- 5.7 Occupational health reports written to management are for their consideration within the overall context of a holistic overview of the entire workplace and the requirements of the school as a whole.

## **6. Notification of absence from work (sickness reporting)**

- 6.1 It should be noted that persistent failure to comply with the school's notification arrangements (or giving misleading or false statements) may result in possible loss of pay and/or disciplinary action. Where sickness absence is likely to be protracted, ie more than 4 weeks, the manager and the employee should maintain contact at agreed intervals.
- 6.2 Day one – the employee must ensure that Jane Boylan is notified by 7:30am and should disclose the nature of the illness (and if possible an estimate of the probable length of their absence).
- 6.3 The employee must also, in order to enable alternative arrangements to be put in place, advise JB or a member of the SLT of any work related matters to which their absence could be crucial.
- 6.4 For sickness absence lasting between 4 days and 7 days, employees must, within one working day of their return to work, submit a completed Self-Certification Form to RL (forms can be accessed from RL).
- 6.5 For sickness absence extending beyond 7 days (including Saturday and Sundays) employees must obtain by day 8 (and submit by day 9 at the latest) the Statement of Fitness (fit note) from their doctor. The employee's continuing period of sickness absence must be covered by submitting further medical certificates which should follow immediately on the expiry of the previously submitted fit note (and sent into the office no later than the next working day on the expiry of the previous fit note).

- 6.6 If the fit note does not cover the first seven days of absence the employee may additionally be required to submit a self-certificate to cover this period.
- 6.7 A fit note will normally state categorically that an employee is 'not fit for work' (for a defined period of time). In some cases, however, a **conditional fit note** may be issued by the medical practitioner, indicating that the employee 'may be fit for work' dependent on certain conditions being met (such as, for instance, 'no heavy lifting' or 'sedentary work only' etc).
- 6.8 If the employee's doctor advises on the fit note that the employee 'may be fit for work' the manager will arrange for a meeting to take place with the employee to discuss appropriate ways of supporting them back into work. This may be by means of: a phased return; altered hours; workplace adaptations; or amended duties etc.
- 6.9 If it is not possible to satisfy the conditions for a return to work, or if the employee feels unable to return on the basis of those adjustments that can be agreed as reasonable, then the fit note will be used in the same way as if the doctor had advised that the employee was 'not fit for work'.

## **7. Return to work interviews**

- 7.1 A return to work interview is good practice following any period of sickness absence in order to establish the employee's fitness for work.
- 7.2 These interviews are normally informal and the individual's line manager (or appropriate person) will discuss each absence with the employee on their return to work. The return to work interview should ideally take place within a short period following the return to work, depending upon circumstances.
- 7.3 At the interview the manager should:
- check that the employee is well enough to return to work
  - explain to the employee that the purpose of the return to work interview is to manage and monitor every employee's attendance to identify problems and offer support where appropriate
  - ask the employee about the reason(s) for their absence (verifying dates of sickness), ensuring that any questions are asked in a supportive way and that the employee is assured of appropriate confidentiality
  - establish whether the employee has a disability and if so whether the provisions of the Equality Act apply (discussion around reasonable adjustments, modification of duties, retraining may be considered)
  - establish whether the employee's sickness absence is work-related, and if so whether any health and safety issues need to be addressed.
- 7.4 Records should be kept of each interview (see Appendix 2) and a copy provided to the employee.

## **8. Short term absence - management action (including use of trigger points)**

- 8.1 Trigger points are quantifiable measures of sickness absence which act as markers (or reminders) for initiation of appropriate management action once they have been reached or exceeded. Different trigger points may be used for long term and short term sickness absence.

- 8.2 In relation to long term sickness absence, any period of more than two (consecutive) weeks duration of absence is considered long term (effectively equivalent to a trigger point) at which time managers must consider whether a referral to occupational health is appropriate (although absence due to stress, workplace accidents or musculo-skeletal problems should be considered for such referral within a shorter timeframe).
- 8.3 In the case of (repeated) short term absence the school will be guided by calculation of the Bradford Factor (see Appendix 1 for further explanation and examples) which is a useful mechanism for assessing the level of sickness absence (particularly in relation to short term absenteeism which is particularly disruptive for staff and students).
- 8.4 The Bradford Factor can be used by employers to manage sickness absence proactively by the implementation of defined trigger points. The application of the formula  $S \times S \times D$  results in a points score (the Bradford Score) where:
- S = the number of separate occasions of absence within a specified period  
D = the total number of days' absence over the same period.
- 8.5 The school has determined that its short term trigger points will be:
- 3 or more instances of sickness absence in any period of 3 months
  - 100 points over a rolling period of 6 months in accordance with the Bradford Factor
  - any recurring, recognisable pattern such as frequent absence on a Friday/Monday, around public holidays etc.
- 8.6 Hitting a trigger point is highly likely to lead to management arranging an attendance management meeting (see section 9 below) which may, in turn, lead to a referral to occupational health.
- 8.7 Managers will endeavour to alert employees who are at risk of reaching a trigger point. When this appears imminent, managers may wish to invite employees to an informal meeting to discuss their absence rate and pattern and will discuss the consequences of hitting a trigger point. For example, where an employee has hit (say) 50 points (where the trigger factor is, for instance, 100 points) they may be invited by their manager to discuss the situation and informed that any further short term absences in the near future will be likely to result in them hitting the trigger point.
- 8.8 Absences that will be discounted from the trigger points include those that:
- result from an accident at work (unless the accident was caused by employee's negligence)
  - relate to a pregnancy-related condition (could be classed as indirect sex discrimination)
- 8.9 In some cases of long term sickness absence it may be appropriate (having first informed the employee) to refer directly to occupational health without arranging an attendance management review.

## **9. Attendance management meetings**

### **9.1 First formal meeting (step 1)**

As a result of an employee reaching one of the short term trigger points (as defined above) they will be invited (in writing) to attend a formal meeting to discuss their absence record. This will be referred to as an 'attendance management meeting'.

- 9.2 The letter of invitation (to which a copy of the employee's sickness absence record will be attached) will inform them that their absences have hit a trigger point and that this represents a cause for concern. The letter will also advise that they can be accompanied in the attendance management meeting by a trade union representative or work colleague.
- 9.3 At the meeting the employee should be invited to put forward (to the headteacher or line manager) the reasons for their absence(s) and ask for any mitigating circumstances to be taken into account. An example of an attendance management meeting format is provided in Appendix 3.
- 9.4 Discussion may include some or all of the following possibilities:
- referral to occupational health (if appropriate)
  - undertaking a stress risk assessment (if appropriate)
  - any additional remedial action and support measures as may be necessary
  - whether the employee has a disability and/or is likely to be considered disabled under the Equality Act 2010.
- 9.5 Following the discussion, the employee may be issued with an individual improvement target (in the form of a letter) with timescales and advised of the next stage of the process should they fail to meet their improvement target. Targets will be as in 'short term trigger points' above with a review period normally of either 3 or 6 months.

9.6 Second formal meeting (step 2)

Where the employee has failed to meet the initial improvement target, a second formal meeting will be arranged in which a further review period will be set with improvement targets and timescales (as in the first formal meeting detailed above).

9.7 Third formal meeting (step 3)

Where the employee has failed to meet the second improvement target, a third formal meeting will be arranged. This is the final stage of the process and may result in the employee's dismissal.

- 9.8 When, during the course of the third formal meeting, it is established to the satisfaction of the headteacher or line manager that an employee has failed to meet the improvement targets at step 1 **and** step 2 the headteacher or line manager may recommend the employee's dismissal on grounds of capability due to long term ill-health and accordingly will prepare the management case to be presented in a dismissal hearing (see section 17 below).

## **10. Long term absence - management action**

- 10.1 Absences of over 2 weeks are considered long term. A referral to occupational health at this point would normally be considered appropriate (see next clause). However, it is recognised that headteachers and line managers have discretion on whether to instigate an occupational health referral under circumstances where it is clear that a return to work is imminent and that no adjustments are required in the workplace.



- 10.2 Procedures for long term sickness absence differ from short term intermittent sickness absence and the management of such absence is dependent upon several factors, including the following, on all of which, other than the length of absence itself (which is purely factual), advice may require to be sought from an occupational health provider:
- the duration of sickness absence
  - the medical prognosis
  - the predicted date for a return to work
  - whether a return to the previous duties of employment is likely to prove
    - a. feasible and
    - b. sustained.
- 10.3 To manage long term sickness absence, in the first instance the headteacher or line manager should arrange an early meeting with the employee (who may be accompanied by a trade union representative or work colleague) to discuss the situation and determine whether any action (such as referral to occupational health) should be taken.
- 10.4 Thereafter, the headteacher or line manager should keep in regular contact with the employee and discuss any options for returning to work, following advice specified in the employee's fit note(s) and/or received from occupational health. Such options may include, where appropriate, medical redeployment (see section 13 below).
- 10.5 During informal meetings the headteacher or line manager can discuss further referral to occupational health (where appropriate) and plan the employee's return to work. Consideration should be given to the likely duration of the sickness absence and its impact on the effective and efficient operation of the school.
- 10.6 Irrespective of the above, where a member of staff has a serious condition or terminal illness they should be treated with greater sensitivity and sympathy and not subjected to overly rigorous monitoring procedures. Equally, if the employee's work has either caused or contributed to the employee's illness, the issues must be fully explored by the headteacher or line manager and steps taken to ensure they are addressed prior to the employee returning. For stress related cases, please refer to the school's Stress Policy.
- 10.7 All meetings should be documented with copies provided to the employee. Throughout the period of absence the headteacher or line manager should keep the employee fully informed of his/her position and of any changes impacting on the employee's working environment.
- 10.8 The headteacher or line manager may (after an investigation of the facts and following a proper process) consider dismissal as a last resort once all other options have been considered.
- 10.9 If, having taken recent (normally within the last 3 months) advice from occupational health, the headteacher or line manager is satisfied that a return to work within an acceptable timescale is no longer likely, they may recommend dismissal on grounds of capability due to long term ill-health and accordingly will prepare the management case to be presented in a dismissal hearing (see section 17 below).

## **11. Ill-health retirement - teachers**

- 11.1 If a teacher becomes too ill to continue teaching they may be eligible for ill-health retirement. This will only be granted if the medical evidence shows that the teacher is **permanently** incapacitated from teaching on both a full and part-time basis.

- 11.2 In such cases a referral to occupational health should be instigated by the headteacher or line manager to confirm eligibility. If the teacher meets the criteria, a certificate of permanent incapacity (CPI) will be issued by the approved occupational health doctor enabling the teacher to pursue ill-health retirement.
- 11.3 Where a teacher who is absent on sick leave applies to Teachers' Pensions (TP) for an ill-health pension and is subsequently granted their pension, the school do not formally dismiss the teacher. In these circumstances, the teacher is declaring themselves unfit to return to work and the award of the pension is the trigger for the ending of the contract. The headteacher or line manager should seek to agree a mutually acceptable date for the teacher's last day of employment which enables the teacher to have early access to their pension.
- 11.4 For applications for ill-health retirement received at Teachers' Pensions there are two tiers of ill-health retirement: Total Incapacity Benefit (TIB) and Partial Incapacity Benefit (PIB).
- 11.5 For both categories teachers must satisfy Teachers' Pensions medical advisers that they are permanently incapacitated from teaching/lecturing on a full or part-time basis.
- 11.6 Teachers will be assessed as meeting the criteria for TIB if they are deemed to be unable to undertake **any** gainful employment.
- 11.7 Teachers will be assessed as meeting the criteria for PIB if deemed to be permanently incapable of teaching/lecturing but capable of undertaking a range of other types of work.
- 11.8 The decision as to whether a teacher is awarded TIB or PIB will be determined by the Department for Education's medical adviser based upon the medical evidence submitted with the employee's application.
- 11.9 If the teacher is deemed unfit to return to work, but does **not** wish to apply for ill-health retirement, a decision must be taken by the headteacher or line manager to instigate dismissal proceedings (see section 17 below) on grounds of incapacity related to long term ill-health.

## **12. Ill-health retirement - support staff**

- 12.1 If an employee has to leave work at any age due to illness they may qualify for immediate payment of benefits (based on an opinion from an independent qualified doctor that they will be permanently unable to do their own job **and** that there is a reduced likelihood of them being capable of obtaining alternative gainful employment before their normal pension age) and if a member of support staff becomes too ill to continue working in their current post they may be eligible for ill-health retirement.
- 12.2 In such cases a referral to occupational health should be instigated by the headteacher or line manager. If the employee meets the criteria, a certificate of permanent incapacity (CPI) will be issued by the approved occupational health doctor. The Local Government Pension Scheme (LGPS) operates a 3 tier system for those members who meet their criteria for issue of a certificate of permanent incapacity.
- 12.3 The CPI will entitle the employee to differing levels (tiers 1, 2 and 3) of benefit based on the likelihood of an employee being capable of obtaining alternative gainful employment after leaving their current position.

- 12.4 On receipt of the CPI, the headteacher or line manager should obtain an estimate of the ill-health retirement pension figures and arrange a case review meeting with the employee, who may be accompanied by their trade union representative or work colleague.
- 12.5 The purpose of the case review meeting is to discuss the facts and impact of a CPI being issued, including the following possibilities:
- redeployment within the school (see section 13 below)
  - early retirement on grounds of ill-health (and the acceptability (or otherwise) of this mooted option to the employee)
  - application for pensionable benefits
- 12.6 If early retirement on the grounds of ill-health is identified as the appropriate outcome for a member of support staff (always bearing in mind that the issue of a CPI relates to the current role performed by the member of staff and consideration must be given to the possibility that an alternative role might prove viable) the headteacher or line manager will make arrangements to effect dismissal\* (see section 17 below).
- \* Under LGPS regulations no provision exists for a member of support staff to gain access to their pension by means of early retirement through resignation on grounds of ill-health – it is therefore necessary for them to be (by negotiated agreement) officially **dismissed** on grounds of capability (see Capability Policy for further details of mechanism).*
- 12.7 If the employee does not wish to contest either the decision to dismiss, or the pension recommendation and CPI determination made by the occupational health practitioner, dismissal can, in these circumstances only, be effected by means of an informal meeting in which the employee will be advised as to the process (including the agreed date on which their dismissal will take effect) and will be given written notice of termination with appropriate notice period.
- 12.8 If the employee does wish to contest the decision to dismiss they should be invited to a formal dismissal hearing (see section 17 below) where the case will be heard in full before a decision is reached as to whether or not the employee should be dismissed.

### **13. Medical redeployment**

- 13.1 Where the occupational health practitioner considers that medical redeployment may be an option, consideration will be given to such redeployment. It will be the decision of the headteacher as to whether redeployment represents a realistic prospect within the school.
- 13.2 Any offer of redeployment will be made on a trial basis for 4 weeks (equivalent to the statutory notice period of the employee) to establish the employee's suitability for the alternative role. The trial period will not extend beyond the employee's notice period except in cases where retraining is required.
- 13.3 The duration of the trial period will be confirmed in writing and statutory notice given of the date when termination of employment will take place if no suitable alternative employment is identified.
- 13.4 The occupational health practitioner's opinion may be sought regarding the employee's capability to undertake the duties of the post proposed for redeployment. There is no salary/grade protection in respect of medical redeployment to a lower graded post and the terms and conditions of employment will be those relating to the new post.

## **14. Dismissal on grounds of long term sickness without issue of CPI**

- 14.1 Where a return to work appears improbable within the foreseeable future and/or the length of absence can no longer be sustained by the school, the headteacher or line manager will carry out a formal review meeting. During all formal meetings/hearings the employee is entitled to be represented by a trade union representative or work colleague.
- 14.2 The headteacher or line manager will write to the employee inviting them to the meeting. The letter of invitation should clarify that the employee's continued employment is potentially at risk (ie that this process may lead to dismissal on grounds of capability relating to long term ill-health) and should encourage the employee to be accompanied (as above).
- 14.3 Discussion during this meeting will be likely to include the following:
- whether referral to occupational health would be appropriate at this stage  
*(normally referral to occupational health will have taken place prior to this stage but dismissal on medical grounds should not be considered without a recent OH assessment (usually within the last 3 months) being on record)*
  - length of absence, current prognosis, previous attendance record, impact on students/colleagues
  - any necessary support/reasonable adjustments required under the Equality Act 2010
  - any steps the employee may be taking to help him/herself
  - potential outcomes if the absence continues ie dismissal on grounds of ill-health with or without immediate access to pension  
*(although information will be sought from occupational health re consideration of ill-health retirement if applicable)*
- 14.4 In the event of a decision, following the formal review meeting, that the school wish to progress to consideration of dismissal on grounds of ill-health the headteacher or line manager will arrange a further (formal) meeting to consider the employee's continued employment. This will take the form of a dismissal hearing (see section 17 below). At this point the local authority (as the employer) should be made aware that dismissal is being given serious consideration and should be informed of the details of the proposed hearing in accordance with paragraph 15.3.
- 14.5 The headteacher or line manager will usually have held at least one formal meeting with the employee prior to this stage and will (where a certificate of permanent incapacity has not been provided) prepare the management case for dismissal on the grounds of incapacity due to long term ill-health.
- 14.6 When considering dismissal for unsatisfactory attendance, there are three basic principles of natural justice which are:
- the individual is fully aware that their attendance record is unsatisfactory
  - the individual has been afforded the opportunity to improve their attendance
  - the individual has been allowed to make representations on his/her own behalf.

## **15. Preliminaries to dismissal hearing**

- 15.1 Prior to a dismissal hearing taking place the management case should have afforded full consideration as to whether:
- procedures have been followed
  - referral to occupational health (as appropriate) has been undertaken
  - it has previously been made clear to the employee that their continued employment was at risk
  - the employee has had sufficient opportunity to state their case
  - any new information has come to light
  - the option of reasonable adjustments has been considered
  - the option of redeployment has been considered
  - the case has been prepared with due reference to the Equality Act 2010.
- 15.2 The employee must be given reasonable notice (not less than 5 working days) of the date, time and venue of the dismissal hearing and must be informed of the right to be represented by a trade union representative or work colleague.
- 15.3 The local authority must be informed in advance of any dismissal hearing and should be provided with the date of any hearing, and details of the procedure to be adopted. The local authority has the right both to attend and to offer advice at the meeting.
- 15.4 The headteacher or line manager will prepare the management case for dismissal (with accompanying documentation such as sickness record, summary of occupational health information and any other relevant information).
- 15.5 A copy of the management case (with accompanying documentation) will be sent both to the panel (or headteacher if hearing the case) and the employee prior to the meeting, and a further copy of the management case (and accompanying documentation) will also be sent to the local authority.
- 15.6 If it is not possible to include copies of the management case and accompanying documentation along with the formal notification of the dismissal hearing then the relevant information should be sent to the employee under separate cover within a reasonable timescale prior to the hearing.

## **16. Presentation of management case**

- 16.1 If the headteacher presents the case in a dismissal hearing this will be heard by a panel of up to three governors who have not previously been involved in the case.
- 16.2 If the line manager presents the case in a dismissal hearing this will be heard either by the headteacher (where he or she has not previously been involved in the case **and** has been delegated the authority to dismiss) or by a panel of up to three governors (who have not previously been involved in the case).
- 16.3 If the dismissal hearing is heard by more than one individual a member of the panel shall be nominated to chair the meeting.

## **17. Dismissal hearing**

- 17.1 In the dismissal hearing the headteacher or line manager will present the management case with a recommendation of dismissal. The employee (or their representative) will then be given the opportunity to present their case to oppose the recommendation.
- 17.2 Either party may then be questioned by members of the panel. Following presentations from both sides and the completion of any subsequent enquiry the chair of the hearing will call an adjournment to consider the decision.
- 17.3 The decision of the panel (or headteacher if he or she is hearing the case) as to whether or not to dismiss the employee will be communicated to the employee in writing without delay.
- 17.4 Where a decision is taken to dismiss, the outcome letter (to be sent by recorded delivery) will inform the employee of the clear reason(s) for dismissal and the date of termination. They will also be informed of their right of appeal, and to whom an appeal should be sent.
- 17.5 The outcome letter should make clear to the employee that formal notification of dismissal will be forwarded by the local authority (as the legal employer). The school must inform the local authority in writing of the decision and the reasons for it and the local authority will then issue the notice of termination in line with The School Staffing (England) Regulations 2009, Regulation 20.
- 17.6 The employee has the right to appeal against any decision to dismiss and also has the right to complain about any decision reached by the West Yorkshire Pension Fund which affects their pension benefits. This latter right includes access to the West Yorkshire Pension Fund "Internal Dispute Resolution Procedure".

## **18. Appeal against dismissal**

- 18.1 In order to exercise their right of appeal against dismissal, the employee must write to the clerk to governors (within 5 working days of being informed of the decision to dismiss) stating their grounds for appeal.
- 18.2 The appeal hearing will be held within 10 working days of receipt of the employee's letter of appeal. The employee will be given at least 5 working days' notice of the hearing. The appeal will be heard by governors not previously involved in the matter.
- 18.3 Following introductions and the explanation of the purpose of the appeal hearing and how it will be conducted, the member of staff and their representative (or companion) will be invited to present their grounds for appeal. Where grounds are unclear, the chair of the panel may ask for clarification.
- 18.4 The chair of the original dismissal hearing panel will then present the reasons for the decision taken in the previous hearing and may call as a witness in the appeal hearing the manager who presented the case in the original dismissal hearing.
- 18.5 After ensuring that all relevant issues have been thoroughly explored the appeal panel will make a determination either to confirm the previous decision or to uphold the appeal.
- 18.6 The member of staff will be informed of the outcome of the appeal hearing in writing, normally within 5 working days of the date of the appeal hearing. The decision of this panel is final.

## **19. Other policies and procedures**

19.1 This policy will be supported by the following policies and procedures:

- Capability Policy
- Disciplinary Policy
- Leave of Absence Policy
- Substance Misuse Policy

## Appendix 1

### Bradford Factor - explanation and examples

The disruption caused by frequent short-term absences is often significantly greater than that caused by (more predictable) long-term absences. The 'Bradford Factor' assesses the irregularity of an employee's attendance by combining measures of absence frequency and duration. These parameters indicate whether an individual's sickness absence record comprises a few, or many, spells of short or long duration. They can be used to monitor trends in sickness absence, to provide trigger points and to derive comparative figures.

The simple calculation required to derive the Bradford score is:

$$S \times S \times D \quad \text{or (for those of a mathematical bent)} \quad S^2D$$

where S equals the number of spells of absence within a specified period and D equals the total number of days of absence in that same period

Example: This example illustrates the Bradford scores for three employees, each with the same annual absence over the identified period (in this case 12 days over 1 year).

Employee 1: 1 absence of 12 days      Bradford score (1 x 1 x 12)      =      12

Employee 2: 6 absences of 2 days each      Bradford score (6 x 6 x 12)      =      432

Employee 3: 12 absences of 1 day each      Bradford score (12 x 12 x 12)      =      1,728

Comparison between sickness absence rates and average Bradford score can be revealing and can help to target action appropriately.

A high absence rate (for the school as a whole) and a low Bradford score clearly indicates that absence is due to a small number of staff with long absences.

In contrast, low absence rate in combination with a high Bradford score shows that there is a small number of staff with frequent short-term absences.

#### How will absence be monitored using the Bradford score?

The initial trigger point will be a defined score such as, for example, 100.

When individuals have accrued 100 points or more over a rolling period of 6 months it will trigger consideration of a first (Stage One) formal warning and the issue of an improvement target.

If a first formal warning is issued, and the improvement target is not met, then a second (Stage Two) formal warning will be issued and a further improvement target set.

If there is insufficient improvement following the second (Stage Two) formal warning being issued, and the further improvement target is not met, then the employees' dismissal may legitimately be considered on the grounds of an unsatisfactory attendance record.



**Appendix 2**  
**Return to work discussion/interview form**

**CONFIDENTIAL**

<b>Date of RTW:</b>	
<b>EMPLOYEE DETAILS</b>	
<b>Full Name:</b>	
<b>Job Title:</b>	
<b>Line manager:</b>	
<b>ABSENCE DETAILS</b>	
<b>First day of absence:</b>	
<b>Last day of absence:</b>	
<b>Working days lost:</b>	
<b>When did you consider yourself fit to return to work:</b>	
<b>Reason for absence:</b>	
<b>Did you see a GP and/or seek health advice?</b>	
<b>RETURN TO WORK DISCUSSION</b>	
<b>Are there any issues relating to the recent absence that the employee thinks the school should know about? (Does the employee consider themselves to have a disability?)</b>	
<b>Do any reasonable adjustments/support need to be considered?</b>	

<b>I understand that this information will be used for the purposes of recording and monitoring sickness absence.</b>	
<b>Signed Employee .....</b>	<b>Date .....</b>
<b>Signed Manager .....</b>	<b>Date .....</b>

### Appendix 3

#### MANAGEMENT DOCUMENT

##### Information required in advance of attendance management review meeting

EMPLOYEE ABSENCE SUMMARY	
<p><b>Number of days' absence in last rolling period of 6 months</b></p> <p><b>Number of separate occasions of absence</b></p> <p><b>Bradford Factor Score is calculated by multiplying the total number of (working) days absence by the square of the number of (separate) absences</b></p> <p><b>NB Separate absences are defined by return to work <u>between</u> absences – any absences which span either side of a school holiday should be regarded as <u>continuous</u></b></p>	<p>..... (total) days on</p> <p>..... (separate) occasions</p> <p>Multiply the first figure by the <b>square</b> of the second figure to give the Bradford Factor</p> <p>Bradford Factor score of .....</p>
<p><b>Which of the school trigger points has been met?</b></p> <p>1. Bradford factor of 100 or more (in rolling period 6 months)</p> <p>2. 3 absences in 3 months</p> <p>3. Regular pattern of absence (eg Mondays and Fridays)</p> <p>4. Long term absence (over 2 weeks)</p>	<p>Yes / No</p> <p>Yes / No</p> <p>Yes / No</p> <p>Yes / No</p>
<p><b>Is referral to Occupational Health indicated?</b></p>	<p>Yes / No</p>

## Appendix 4

### Record of attendance management review meeting

<b>Name of Employee:</b>	
<b>Date of Meeting:</b>	
<b>Line Manager:</b>	
<b>People Present:</b>	

<b>Reason for meeting:</b>	Trigger(s) hit:	Bradford Factor Score of 100 or more	
		3 Absences in 3 months	
		Regular pattern of absence	
		1 Absence in excess of 2 weeks	

<b>Additional information:</b> <small>(eg any other reasons not covered above)</small>	
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<p><b>Issues Discussed:</b></p> <p>Absences considered as disability?</p> <p>Mitigating circumstances?</p> <p>Impact of absences on work/colleagues/pupil progress?</p>	
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<p><b>Proposed course of action or plan for improvement/support:</b></p> <p>include as appropriate:</p> <ul style="list-style-type: none"> <li>referral to occupational health</li> <li>stress risk assessment</li> <li>counselling</li> </ul> <p>any remedial action such as:</p> <ul style="list-style-type: none"> <li>change of role</li> <li>change of duties</li> <li>specific adjustments etc</li> </ul>	
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<p><b>Outcome:</b></p> <p>(to include explanation of next steps)</p>	
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<p><b>Review Period:</b>(if relevant)</p>	
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<p><b>Date of next review meeting:</b></p>	
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<p><b>Signed Employee:</b></p>	<p>.....</p>	<p><b>Date:</b></p>	<p>.....</p>
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<p><b>Signed Manager:</b></p>	<p>.....</p>	<p><b>Date:</b></p>	<p>.....</p>
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